Background—Until recently, patients with heterozygous familial hypercholesterolemia (HeFH) were considered the best subjects for the assessment of changes in carotid intima-media thickness (cIMT) in randomized intervention trials. Our aims were to investigate whether contemporary statin-treated HeFH patients still show accelerated cIMT increase and to assess the impact of statin treatment, before and after random assignment, on atherosclerosis progression.

Methods and Results—We retrospectively evaluated cIMT change, and prior statin treatment and postbaseline LDL-C change as predictors of cIMT change, in 1513 HeFH patients who were randomly assigned to the statin arms of the early ASAP and more recent RADIANCE 1, CAPTIVATE, and ENHANCE studies. In the 3 recent studies combined, mean cIMT increased at only 33% of the rate of the simvastatin-treated patients in the ASAP study (0.014 mm/2 years [95% confidence interval, 0.0003–0.028] versus 0.041 mm/2 years [95% confidence interval, 0.020–0.061]; P<0.05). Patients whose statin therapy could be intensified, as evidenced by an LDL-C decrease after the initiation of on-trial statin therapy, showed cIMT decrease in the first 6 to 12 months and a much lower cIMT increase measured over the full 2 years. In line with this, previously statin-naive HeFH patients showed a lower overall cIMT increase.

Conclusions—Over the years, intensification of statin therapy in HeFH patients has resulted in an impressive decrease in carotid atherosclerosis progression. In studies that assess other antiatherosclerotic modalities, statin therapy may still induce rapid changes in cIMT. For future cIMT studies, our analyses suggest that patient populations other than intensively pretreated HeFH patients should be selected and that the statin regimen should not be changed on study initiation. (Circ Cardiovasc Imaging. 2010;3:398-404.)

Key Words: imaging familial hypercholesterolemia

- mode ultrasound carotid intima-media thickness (cIMT) is the most widely used and best validated imaging modality for intervention studies that evaluate the effect of any given treatment on the progression of atherosclerosis.1,2 Patients with heterozygous familial hypercholesterolemia (HeFH) were often selected for these studies because of their increased risk of premature coronary artery disease and accelerated cIMT increase from childhood onward.3,4 Indeed, one of the first cIMT trials in HeFH patients, the Atorvastatin versus Simvastatin on Atherosclerosis Progression (ASAP) study, demonstrated a clear benefit of aggressive lipid-lowering with atorvastatin 80 mg versus conventional lipid-lowering with simvastatin 40 mg, on the rate of arterial wall thickening.5 However, the implementation of active (genetic) screening programs for HeFH has led to earlier diagnosis and thus earlier intervention in the natural course of this disease.6 Furthermore, the standard of clinical care for patients with HeFH has changed substantially over the past decade: Life-
style interventions, statin therapy, as well as the treatment of other cardiovascular risk factors have all considerably intensified. In fact, in a recent study, the introduction of statin therapy in HeFH patients was demonstrated to have resulted in an 80% reduction of cardiovascular risk.7

**Clinical Perspective on p 404**

This finding may bear relevance to cIMT trials in contemporary HeFH patients because those studies mostly include patients who have been pretreated with statins and routinely use intensive statin therapy in both treatment arms. This raises several questions. First, do HeFH patients still exhibit sufficient atherosclerosis progression for inclusion in cIMT intervention studies? Second, has the intensification of statin treatment in the contemporary HeFH population affected their cIMT readings? More specifically, does intensification of the statin regimen at the beginning of a trial reduce subsequent cIMT change, and, related to this question, does pretreatment with statin therapy result in a different rate of cIMT change? To address these questions, we performed post hoc analyses in the statin arms of 4 randomized controlled cIMT trials that included HeFH patients, namely ASAP, Rating Atherosclerotic Disease Change by Imaging With A New CETP Inhibitor 1 (RADIANCE 1),8 Ezetimibe and Simvastatin in Hypercholesterolemia Enhances Atherosclerosis Regression (ENHANCE),9 and Efficacy and Safety of the ACAT Inhibitor CS-505 (Pactimibe) for Reducing the Progression of Carotid Artery Disease (CAPTIVATE).10

**Methods**

**Data Sources**

Individual patient data from the statin arms of the ASAP, RADIANCE 1, CAPTIVATE, and ENHANCE studies were merged. Design and results of these studies were published previously. Briefly, ASAP enrolled HeFH patients who were previously untreated or treated for up to 1 year or who had low-density lipoprotein cholesterol (LDL-C) levels above 173 mg/dL. Patients were then randomized to receive treatment with either atorvastatin 80 mg or simvastatin 40 mg. The primary end point was the change in mean cIMT over 2 years. Both treatment arms were included in the present analyses. RADIANCE 1 enrolled HeFH patients, and titrated with atorvastatin to target low-density lipoprotein cholesterol (LDL-C) levels according to NCEP ATP-III criteria or to a maximally tolerated dose.11 Patients were then randomly assigned to receive torcetrapib or placebo on top of this atorvastatin regimen. The primary end point was the annualized rate of change in the maximum cIMT of 12 predefined carotid segments. Data from the atorvastatin monotherapy arm were included in the present analysis. CAPTIVATE enrolled HeFH patients who were randomly assigned to receive pactimibe or placebo on top of standard lipid-lowering therapy. The primary end point of this study was the change in mean cIMT over 2 years. The study was prematurely terminated after the development of pactimibe was halted. Data from the standard lipid-lowering therapy arm were included in our analysis. CAPTIVATE differs from the other studies in the fact that besides the addition of pactimibe or placebo, lipid-lowering therapy was not changed at the start of the trial. ENHANCE included HeFH patients who were randomly assigned to receive simvastatin 80 mg and ezetimibe 10 mg or simvastatin 80 mg monotherapy. The primary end point was 2-year change in mean cIMT, which was defined as the average of the means of the far-wall intima-media thickness of the right and left common carotid arteries, carotid bulbs, and internal carotid arteries. Only the monotherapy arm was included in the present analysis.

**Extraction of Data**

We extracted segment level cIMT data and calculated mean cIMT for each patient at each available time point for all segments, using measures of the far walls of the left and right common carotid artery, left and right internal carotid artery and the left and right carotid bulb. We specifically did not strive to replicate the originally published cIMT results but instead chose to construct a measure of cIMT, homogeneous across all studies. We extracted baseline data on prior statin use and on the variables age, sex, body mass index (BMI), presence/absence of coronary artery disease (CAD), diabetes mellitus, (treated) hypertension, total cholesterol, LDL-C, high-density lipoprotein cholesterol (HDL-C), and triglycerides. We also extracted data on LDL-C at end-of-study.

**End Points**

End points of our analysis were 1-year and 2-year change of mean cIMT of all segments and 1-year and 2-year change of the mean intima-media thickness of both common carotid arteries, which will be referred to as CCA-IMT. The latter measure has been used as a primary end point in a number of recent trials.12–17

**Data Analyses**

Analyses were performed in subjects with complete data, or, alternatively, missing data for cIMT (112 subjects, ~7%) were imputed using multiple imputation according to the Markov chain Monte Carlo method. First, to assess differences in carotid atherosclerosis progression between contemporary patients and the early HeFH population, mean cIMT changes in the control arms of the ENHANCE, CAPTIVATE, and RADIANCE 1 trial were compared with mean cIMT change in the ASAP simvastatin arm. The analysis included a fixed effect for each of the studies and inferred on the average difference between the three contemporary studies and ASAP.

Second, we assessed whether intensification of statin therapy at the start of the trial affects on subsequent cIMT change. We could evaluate this in all studies that incorporated a switch in statin therapy at their initiation (ASAP, ENHANCE, and RADIANCE 1). Because the impact of a new statin regimen on LDL-C can be expected to differ between patients, and because a quantitative comparison of the potency of different statins in different dosages is impossible, we decided to select the change in LDL-C as a proxy for the change in intensity of statin therapy. We divided patients into 2 groups: those who showed a decrease of LDL-C levels after initiation of on-trial statin therapy (end-of-study LDL-C < screening visit LDL-C) versus those who showed no decrease of LDL-C after the start of study (end-of-study LDL-C ≥ screening visit LDL-C). The first group ("LDL decrease") was assumed to have received more intensive statin therapy during the trial than before the trial; the second group ("no LDL decrease") was assumed to have received less or equally intensive statin therapy during the trial compared with before the trial.

Third, to assess the potential of prior statin use as an independent predictor for all cIMT change end points, a 2-level random-effects regression analysis was conducted adjusting for all baseline variables mentioned under the heading “extraction of data,” incorporating study as a random effect. The likelihood ratio test was used to compare deviance statistics for nested models, with the significance level set at a probability value of 0.10. We chose not to adjust for baseline cIMT because this might have resulted in a considerable bias related to measurement error, as described elsewhere.18–20 Results are presented as mean change in cIMT with corresponding standard error and probability value. Analyses were performed using SAS, version 9.2.

**Results**

We extracted data from four different cIMT trials that enrolled HeFH patients. More than 70% of patients in the more recent trials had received intensive lipid-lowering therapy before enrollment as compared with 41% in the earlier
ASAP study. In all contemporary studies, statin therapy during the trial was more intensive than in the ASAP simvastatin arm. Differences in statin treatment before and during the trial for each contemporary study, compared with the ASAP study, are shown in Table 1.

Table 2 shows the baseline characteristics of all 1513 subjects. We compared mean cIMT change in contemporary studies with mean cIMT change in the ASAP simvastatin arm. After 2 years, cIMT increase in recent studies was 33\% of that in the earlier ASAP study (0.014 mm [95\% confidence interval (CI), −0.0003–0.028] versus 0.041 mm [95\% CI, 0.020–0.061], \(P<0.05\)). Interestingly, in both ASAP and the newer studies, cIMT increased faster in the second year than in the first year (Figure 1).

Next, we assessed whether intensification of statin therapy at the start of the trial reduces subsequent cIMT change. To...
this end, we evaluated whether patients who had a postbaseline decrease in LDL-C showed a different cIMT change from patients who did not. In CAPTIVATE there was no meaningful difference between on-trial LDL-C and baseline LDL-C because patients were continued on their own statin therapy. However, in the studies that switched to a different statin regimen at baseline—ASAP, RADIANCE 1, and ENHANCE—those patients with a decrease of plasma LDL-C after study initiation showed less carotid atherosclerosis progression than patients whose LDL-C did not decrease (Figure 2). Furthermore, patients with a decrease in LDL-C showed a rapid regression of carotid atherosclerosis during the first 6 to 12 months compared with baseline (−0.012 mm [95% CI, −0.018 to −0.005] at 6 months and −0.013 mm [95% CI, −0.020 to −0.005] at 12 months in the combined analysis; both different from zero with \( P<0.0005 \) and \( P<0.001 \), respectively). These data suggest that intensification of statin therapy at the start of the study retards the progression of carotid atherosclerosis.

We hypothesized that such an effect would mainly occur in statin-naive patients. To test this hypothesis, we assessed the association between prior statin use and subsequent cIMT change, as defined by different criteria (1-year mean cIMT change, 2-year mean cIMT change, 1-year CCA-IMT change, and 2-year mean CCA-IMT change), adjusting for age, sex, BMI \( \geq 30 \) kg/m\(^2\), history of CAD, history of diabetes, history of hypertension, and LDL-C, HDL-C, and triglycerides at screening. All variables were entered into the models, and only those with a \( P<0.10 \) were retained. Final models are depicted in Table 3. Prior statin use was positively associated with cIMT change (ie, previously statin-naive patients, whose statin therapy was first initiated as part of the trial, had a lower subsequent cIMT increase). In line with this, baseline triglycerides were negatively associated with cIMT change. Age and smoking were positively associated with cIMT change. In exploratory analyses we found that imputation of missing cIMT data did not materially change the results. Furthermore, the direction of association between prior statin use and cIMT change was consistent in ASAP, RADIANCE 1, and ENHANCE, ruling out confounding by study (Supplemental Table). However, the association was approximately 6-fold weaker in CAPTIVATE than in the other studies (parameter estimate for association with 2-year change in mean cIMT, 0.0034 mm [standard error, 0.1271], \( P=0.98 \)), suggesting that prior statin use is only an important predictor of cIMT change if the statin regime is changed at the start of the study.

## Discussion

In the current analysis, we demonstrate that the rate of cIMT change in intensively treated contemporary HeFH patients is a third of that in the less intensively treated HeFH population of the early ASAP trial. Also within studies, a reduction of carotid atherosclerosis progression occurred in patients whose statin therapy was intensified. In fact, these patients even showed regression of atherosclerosis in the first 6 to 12 months, also while they were randomly assigned to the control arm of these studies. In contrast, patients who did not exhibit an LDL-C reduction on trial medication did not show regression of carotid atherosclerosis and had a higher overall cIMT increase. In line with this, previously statin-naive HeFH patients, who received statin therapy for the first time during the trial, showed a lower overall cIMT increase, indicating that these patients have a particularly strong statin-induced suppression of cIMT increase. These data demonstrate that the intensification of statin therapy that
HeFH patients have witnessed over the past decade has substantially slowed down atherosclerosis progression. Furthermore, they suggest that at least part of the effect of statin therapy on carotid atherosclerosis is rapidly achieved.

Statins Retard Progression of Carotid Atherosclerosis

After the publication of the ENHANCE study, which showed no benefit of adding ezetimibe to simvastatin 80 mg on cIMT change despite a substantial additional LDL-C reduction, its failure has been subject of intense debate. Proposed explanations broadly fell into 3 categories: First, the measurement of cIMT change may not have accurately reflected changes in atherosclerotic burden; second, the compound ezetimibe may lack vascular benefit; and third, cIMT change may have been too low in contemporary HeFH patients to detect a difference between control and intervention arm.

In the current study, we found evidence to support the last explanation: cIMT change in contemporary HeFH patients is considerably lower than in patients who used simvastatin 40 mg in ASAP. Further analyses confirmed that statin treatment remains an important determinant of cIMT change in HeFH patients: Patients who received more intensive statin therapy during the study than before the study exhibited a reduced cIMT increase compared with other patients. Thus, as may have been expected, statin intensification suppresses carotid atherosclerosis progression. In line with this, this effect is mainly observed in previously statin-naive patients, whom we found to display the lowest cIMT increase during the trials.

Rapid Effect of Statins

In addition, we found that intensification of statin therapy is associated with regression of carotid atherosclerosis in the first 6 to 12 months, suggesting rapid statin-induced carotid wall changes. This finding is supported by other recent studies that showed that the initiation of statin therapy leads to a rapid delipidation of carotid plaque: After treating patients with pravastatin for 12 weeks, atherosclerotic plaques removed from their carotid arteries through endarterectomy showed a significantly diminished lipid content, as well as decreased lipid oxidation and inflammation parameters. Rapid statin-induced plaque delipidation has not only been demonstrated by histology but also by MRI. A mere 6 months after the initiation of simvastatin therapy, plaque volume reduction was observed in the thoracic aorta.

Indeed, in the ASAP trial as well as in the ASAP extension study, carotid atherosclerosis regression was most prominent during the first year of therapy with atorvastatin 80 mg, supporting the notion that delipidation of the vessel wall may be attained quite rapidly. In line with this, findings from the recent METEOR study show an effect on cIMT change after 12 months of rosuvastatin therapy.

Similar results have been observed after a single infusion of reconstituted high-density lipoprotein: Lipid content, macrophage size, and measures of inflammation in plaques from femoral arteries were reduced. Our data show that rapid statin-induced vessel wall delipidation also plays a role in trials that were in fact not designed to assess the effects of statins.

Clinical Implications

After the ASAP study, which showed a large difference in cIMT change between HeFH patients treated with simvastatin 40 mg and HeFH patients treated with atorvastatin 80 mg, these individuals were considered an ideal population to assess novel therapeutic strategies. However, as more potent lipid-lowering therapies became available in recent years, treatment of HeFH patients has improved and cIMT characteristics of this population have changed significantly. The results of the current study imply that contemporary HeFH patients exhibit only a very modest progression of arterial wall thickening because of intensive statin therapy before as well as during trials. This indicates that the current HeFH patient population is less suited for inclusion in cIMT trials that evaluate novel therapeutic strategies on top of (intensive) statin therapy and suggests that other patient populations should be considered for such trials. In this respect, patients with mixed dyslipidemia may be a better choice. RADIANCE 2 assessed the effects of torcetrapib/atorvastatin on cIMT change in this patient population. Two-year mean cIMT change in patients who received atorvastatin monotherapy was 0.0461 mm (standard error, 0.009), which is comparable to that of the HeFH patients in the ASAP simvastatin arm.

In HeFH patients, a high plasma LDL-C is the single most prominent risk factor for the development of cardiovascular disease. Statins address this risk factor very efficiently in the causal pathway of disease. Our data are in line with the recently reported strong improvement in cardiovascular disease risk in HeFH patients. Although one might speculate that the advent of high-dose statin and additional lipid-lowering therapy may effectively have provided a “cure” for HeFH, we emphasize that our results do not lend themselves

Table 3. Multivariate Analyses Into Baseline Determinants of cIMT Change End Points

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Estimate (mm)</th>
<th>Standard Error</th>
<th>P Value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1-Year mean cIMT change</td>
<td>Prior statin use</td>
<td>0.01943</td>
<td>0.00794</td>
</tr>
<tr>
<td></td>
<td>Age</td>
<td>0.00055</td>
<td>0.00028</td>
</tr>
<tr>
<td></td>
<td>Baseline TG</td>
<td>−0.00009</td>
<td>0.00004</td>
</tr>
<tr>
<td></td>
<td>Smoking</td>
<td>0.01465</td>
<td>0.00753</td>
</tr>
<tr>
<td>2-Year mean cIMT change</td>
<td>Prior statin use</td>
<td>0.01975</td>
<td>0.00982</td>
</tr>
<tr>
<td></td>
<td>Age</td>
<td>0.00077</td>
<td>0.00035</td>
</tr>
<tr>
<td></td>
<td>Baseline TG</td>
<td>−0.00013</td>
<td>0.00006</td>
</tr>
<tr>
<td></td>
<td>Smoking</td>
<td>0.01999</td>
<td>0.00933</td>
</tr>
<tr>
<td>1-Year CCA-IMT change</td>
<td>Prior statin use</td>
<td>0.02819</td>
<td>0.00768</td>
</tr>
<tr>
<td>2-Year CCA-IMT change</td>
<td>Prior statin use</td>
<td>0.02059</td>
<td>0.00943</td>
</tr>
</tbody>
</table>

In these models, the variables prior statin use, age, sex, BMI ≥ 30 kg/m², history of CAD, history of diabetes, history of hypertension, and LDL-C, HDL-C, and triglycerides (TG) at screening were entered into the models and only those with a P < 0.10 were retained. Negative parameter estimates indicate a negative association between the variable and the end point. Intercepts are not shown.
for such extrapolation. Although cIMT crudely reflects the atherosclerotic process, the complications of atherosclerosis also depend on other physical properties of the artery and the degree of inflammation, which will also determine the likelihood of rupture and thrombosis.

**cIMT Remains a Useful Surrogate End Point**

It has consistently been shown, more than for any other vascular imaging technique, that cIMT progression findings parallel outcomes of clinical studies with similar interventions. Although the results from the ENHANCE study have led some to believe that a change in cIMT measurements may not accurately reflect altered cardiovascular risk, the current results consistently show that cIMT change remains sensitive to changes in LDL-C levels. This reinvigorates the notion that cIMT trials are well equipped to provide an early indication of the antiatherosclerotic efficacy of novel compounds.

The response of carotid atherosclerosis progression to a change in statin therapy also suggests that future cIMT trials should ideally refrain from changing the statin regimen at the start of the study, as to not influence subsequent cIMT readings. A strong statin-induced cIMT decrease may obscure the effects of the novel therapy assessed in that particular study. In this respect, it is interesting to note that both ENHANCE and RADIANCE switched statin therapy at the start of the study and found no difference in cIMT change between their 2 treatment arms (simvastatin/ezetimibe versus simvastatin alone, and torcetrapib/atorvastatin versus atorvastatin alone, respectively), whereas CAPTIVATE did not switch statins and did find a significant difference in cIMT change between the 2 arms (pactimibe versus placebo).

**Study Limitations**

Some aspects of our analysis merit caution. Data on cIMT were extracted from different studies that used different ultrasound equipment and ultrasound protocols to obtain cIMT measurements. Although we constructed a homogeneous measure of cIMT from the available data, it cannot be excluded that these differences have negatively affected the trial comparability of cIMT readings at single time points. However, the sole use of cIMT change values instead of single cIMT measurements in this study can be expected to mitigate such risk. In addition, our estimates of the determinants of cIMT change in different analyses rely on the assumption that variability is similarly explained across the individual studies; these assumptions are consistent with—although not proven by—similar analysis results in the individual studies. Finally, this study carries all inherent limitations of a post hoc analysis and its conclusions should be considered hypothesis generating.

**Conclusion**

B-mode ultrasound cIMT has proven to be a sensitive marker for the assessment of lipid altering pharmacotherapy. The rate of cIMT change in contemporary HeFH patients has slowed dramatically in relation to the use of intensive statin therapy both before and during cIMT trials. This finding suggests both a strong improvement in cardiovascular risk in HeFH patients as well as a diminished suitability for inclusion in cIMT trials that evaluate novel cardiovascular pharmacotherapy. In the design of future cIMT studies, the occurrence of rapid statin-induced vessel wall delipidation should be taken into consideration.

**Acknowledgments**

We are indebted to all investigators and patients who participated in the trials we analyzed. We would like to acknowledge Pfizer, Schering-Plough, Merck, and Sanofi for making the data of these trials available. Drs Vergeer and Kastelein had full access to all of the data in the study and take responsibility for the integrity of the data and the accuracy of the data analysis.

**Disclosures**

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**References**


**CLINICAL PERSPECTIVE**

Patients with familial hypercholesterolemia, a hereditary disorder with severely elevated low-density lipoprotein cholesterol levels, were once the individuals with the highest possible risk for an early heart attack. This was reflected by the fact that the thickness of their carotid artery walls as well as their coronary angiograms showed the highest progression over time when left untreated. This, however, was the situation before the introduction of statin therapy, and that has dramatically changed the cause of disease and life for those unfortunate individuals. The results of the current study suggest that with potent statin therapy, progression rates have come down to one third of what was previously reported. This is a great improvement but by no means the solution for these patients. Familial hypercholesterolemia heterozygotes are often far from low-density lipoprotein cholesterol goals and still require a lot of medical attention. We have also identified in our study that patients with familial hypercholesterolemia who have been aggressively treated for many years and have responded with regression of artery wall abnormalities are no longer ideal subjects for clinical studies into other antiatherosclerotic modalities. These issues are relevant when designing clinical trials in this patient population.
Carotid Atherosclerosis Progression in Familial Hypercholesterolemia Patients: A Pooled Analysis of the ASAP, ENHANCE, RADIANCE 1, and CAPTIVATE Studies


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SUPPLEMENTAL MATERIAL

Carotid Atherosclerosis Progression in Familial Hypercholesterolemia Patients
A Pooled Analysis of the ASAP, ENHANCE, RADIANCE 1 and CAPTIVATE Studies

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Table. Analyses into baseline determinants of 2 year mean cIMT change, by study

<table>
<thead>
<tr>
<th>Parameter estimate (mm)</th>
<th>Standard error</th>
<th>p-value</th>
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<tr>
<td><strong>ASAP</strong></td>
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<td>Prior statin use</td>
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<td>Age</td>
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<tr>
<td>Baseline TG</td>
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<td>Smoking</td>
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<tr>
<td><strong>RADIANCE 1</strong></td>
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<tr>
<td>Prior statin use</td>
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<td>Smoking</td>
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<td><strong>ENHANCE</strong></td>
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<td>Smoking</td>
<td>0.00116</td>
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<tr>
<td><strong>CAPTIVATE</strong></td>
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<td>Prior statin use</td>
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<tr>
<td>Age</td>
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<tr>
<td>Baseline TG</td>
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</tr>
<tr>
<td>Smoking</td>
<td>0.08330</td>
<td>0.03231</td>
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</table>

The direction of association between prior statin use and 2 year mean cIMT change is consistent across studies, if the statin regime is changed at the start of the study (i.e. in ASAP, RADIANCE 1 and ENHANCE). Negative parameter estimates indicate a negative association between the variable and the endpoint. Intercepts are not shown.