

## Risk Stratification and Sudden Cardiac Death Is It Time to Include Autonomic Variables?

Houman Khakpour, MD; Marmar Vaseghi, MD, PhD

Sudden cardiac death (SCD) remains a major cause of mortality in patients with ischemic cardiomyopathy, and accurate risk stratification strategies can have significant public health implications by reducing mortality and healthcare costs.<sup>1</sup> Although effective, the population impact of implantable cardioverter-defibrillators (ICD) on cardiac mortality has been modest,<sup>2</sup> in part because of suboptimal risk stratification algorithms and in part because of competing causes of death in this population. In this regard, a validated risk stratification algorithm that can accurately discriminate between those patients at high risk of arrhythmic death and those more likely to die from nonarrhythmic causes is invaluable. Identification of these risk-model variables inevitably requires a thorough understanding of arrhythmogenic causes of death in high-risk populations.

### See Article by Fallavollita et al

Cardiac autonomic dysfunction is known to accompany cardiovascular disease. Specifically, myocardial infarction leads to axonal injury and sympathetic denervation, not only in the scar and border-zone regions but also in areas distal to the infarct (viable but denervated myocardium).<sup>3</sup> Given that the majority of postganglionic cardiac neurons for sympathetic fibers lie in the stellate and middle cervical ganglia,<sup>4-6</sup> in the setting of peripheral nerve damage and in the presence of signals such as neural growth factor, attempts at reinnervation ensue. However, this reinnervation is incomplete and heterogeneous, and a pattern of hyperinnervation is observed in localized border-zone regions,<sup>7</sup> along with incomplete innervation and denervation in the rest of the scar and other border-zone areas. These localized regions of hyperinnervation, denervation, and more intact innervation in noninfarcted myocardium lead to increased heterogeneities in local ventricular repolarization time and action potential duration. Increased dispersion of repolarization has been associated with ventricular arrhythmias in patients with ischemic cardiomyopathy and in animal models of myocardial infarction.<sup>8,9</sup> Data from experimental infarct models and in patients with ischemic cardiomyopathy demonstrate global cardiac sympathetic remodeling after

infarction, with myocardium remote from the infarct exhibiting abnormal neural control.<sup>10,11</sup> Such regional heterogeneities in ventricular repolarization, which are increased during sympathetic activation, worsen the substrate for re-entrant ventricular arrhythmias and increases risk of SCD. Restoring normal innervation has been shown to decrease the risk of ventricular arrhythmias in experimental models.<sup>12</sup>

In this issue of *Circulation: Cardiovascular Imaging*, Fallavollita et al expand on their original study<sup>13</sup> and seek to identify specific risk factors associated with cause-specific cardiac mortality (sudden cardiac arrest versus non-SCD [NSCD]) by performing a pilot competing risks analysis of the National Institutes of Health-sponsored Prediction of Arrhythmic Events with Positron Emission Tomography trial (PARAPET).<sup>14</sup> In 204 patients with ischemic cardiomyopathy and reduced ejection fraction (<35%) eligible for an ICD for primary prevention, death from cardiac causes was ascertained and attributed to either sudden cardiac arrest (arrhythmic death or ICD equivalent, with an ICD discharge for ventricular fibrillation or ventricular tachycardia >240 bpm) or NSCD caused by pump failure. Positron emission tomography imaging was performed to assess myocardial sympathetic innervation, perfusion, and viability. <sup>11</sup>C-meta-hydroxyephedrine, a catecholamine analog labeled with <sup>11</sup>C that shares the same neuronal uptake mechanism as norepinephrine, was used to assess sympathetic nerve norepinephrine uptake and the extent of myocardial denervation. Chamber volumes and left ventricular ejection fraction were assessed with echocardiography.

During a 4.1-year follow-up period, 33 sudden cardiac arrests and 36 NSCDs occurred. Of note, 29 patients refused ICDs in this study. In the competing risk analysis, sudden cardiac arrest was correlated with a larger volume of denervated myocardium, greater left ventricular end-diastolic volume index, lack of angiotensin-converting enzyme inhibitor use, and elevated BNP (B-type natriuretic peptide). Meanwhile, NSCD was associated with a larger left atrial volume index and left ventricular end-diastolic volume index, older age, higher resting heart rate, and elevated creatinine. After adjustment for competing risks, left ventricular ejection fraction or New York Heart Association Class were not associated with either sudden cardiac arrest or NSCD. Similar to the original PAREPET study, infarct size did not correlate with either end point.

The results of this study offer further mechanistic insight into risk factors associated with SCD and take the outcomes of the original PAREPET trial a step further by emphasizing that the extent of myocardial denervation as assessed by positron emission tomography imaging can be independently associated with SCD, even when using a competing risk methodology. In fact, 3 of the 4 variables associated with sudden cardiac arrest in the original multivariable analysis remained unchanged

The opinions expressed in this article are not necessarily those of the editors or of the American Heart Association.

From the UCLA Cardiac Arrhythmia Center, University of California, Los Angeles.

Correspondence to Marmar Vaseghi, MD, PhD, UCLA Cardiac Arrhythmia Center, University of California, 100 Medical Plaza, Suite 660, Los Angeles, CA 90095. E-mail mvaseghi@mednet.ucla.edu

(*Circ Cardiovasc Imaging*. 2017;10:e006819.

DOI: 10.1161/CIRCIMAGING.117.006819.)

© 2017 American Heart Association, Inc.

*Circ Cardiovasc Imaging* is available at  
<http://circimaging.ahajournals.org>

DOI: 10.1161/CIRCIMAGING.117.006819

(denervation, left ventricular end-diastolic volume index, and lack of angiotensin-converting enzyme inhibitor use; elevated BNP replaced elevated creatinine). Hence, a combination of sympathetic and myocardial remodeling seem to preferentially increase the risk of arrhythmic deaths in these patients. The extent of denervated myocardium, as assessed by positron emission tomography  $^{11}\text{C}$ -meta-hydroxyephedrine, is most likely a marker for heterogeneous sympathetic innervation, leading to increased dispersion of ventricular repolarization.

Some of the same limitations that afflict retrospective studies apply to the current study. The studied population is small and predominantly men (90%). Certain variables, previously associated with mortality, including atrial fibrillation and chronic obstructive pulmonary disease, either were not collected or not included in the model given the population size and number of events. The limited number of patients also may potentially explain why variables such as left ventricular ejection fraction and New York Heart Association Class did not reach statistical significance for cardiac mortality, given their association with mortality in other studies.<sup>15,16</sup> Furthermore, retrospective adjudication of cause-specific mortality and sudden cardiac arrest may be biased. There are also limitations with the quantification of defect size, which was based on using a 75% threshold for left ventricular maximum activity, a somewhat arbitrary threshold that can lead to inaccuracies if there exists a more global reduction in innervation, which has been shown in animal models of myocardial infarction and in patients with ischemic cardiomyopathy.<sup>10,11,17</sup> A regional retention index calculation or more standardized quantification across patients would have been valuable.

Despite these limitations, Fallavollita et al are to be commended for their contribution, which points to the growing body of evidence supporting the inclusion of cardiac autonomic variables in assessing risk of SCD. This study, along with its predecessors, emphasize the need for incorporation of autonomic indices into risk stratification algorithms, in order to improve the prognostic ability of physicians to predict cause-specific mortality and to better allocate expensive resources and therapies, such as ICDs. Improved understanding of the mechanisms behind pathological cardiac sympathetic and parasympathetic innervation patterns also represent an important approach for future development of neuromodulatory therapies, some of which have already shown benefit in treatment of ventricular arrhythmias.<sup>18</sup> In this regard, future steps should include prospective validation of these autonomic variables, including sympathetic denervation, and implementation of randomized prospective studies aimed at modulating the cardiac autonomic nervous system for prevention of SCD.

### Sources of Funding

This study was supported by the National Institutes of Health New Innovator Award to Dr Vaseghi, 1DP2HL132356.

### Disclosures

None.

### References

- Goldberger JJ, Basu A, Boineau R, Buxton AE, Cain ME, Canty JM Jr, Chen PS, Chugh SS, Costantini O, Exner DV, Kadish AH, Lee B,

- Lloyd-Jones D, Moss AJ, Myerburg RJ, Olgin JE, Passman R, Stevenson WG, Tomaselli GF, Zareba W, Zipes DP, Zoloth L. Risk stratification for sudden cardiac death: a plan for the future. *Circulation*. 2014;129:516–526. doi: 10.1161/CIRCULATIONAHA.113.007149.
- Hulleman M, Berdowski J, de Groot JR, van Dessel PF, Borleffs CJ, Blom MT, Bardai A, de Cock CC, Tan HL, Tijssen JG, Koster RW. Implantable cardioverter-defibrillators have reduced the incidence of resuscitation for out-of-hospital cardiac arrest caused by lethal arrhythmias. *Circulation*. 2012;126:815–821. doi: 10.1161/CIRCULATIONAHA.111.089425.
- Barber MJ, Mueller TM, Henry DP, Felten SY, Zipes DP. Transmural myocardial infarction in the dog produces sympathectomy in noninfarcted myocardium. *Circulation*. 1983;67:787–796.
- Janes RD, Brandys JC, Hopkins DA, Johnstone DE, Murphy DA, Armour JA. Anatomy of human extrinsic cardiac nerves and ganglia. *Am J Cardiol*. 1986;57:299–309.
- Irie T, Yamakawa K, Hamon D, Nakamura K, Shivkumar K, Vaseghi M. Cardiac sympathetic innervation via middle cervical and stellate ganglia and antiarrhythmic mechanism of bilateral stellectomy. *Am J Physiol Heart Circ Physiol*. 2017;312:H392–H405. doi: 10.1152/ajpheart.00644.2016.
- Armour JA. Activity of in situ stellate ganglion neurons of dogs recorded extracellularly. *Can J Physiol Pharmacol*. 1986;64:101–111.
- Cao JM, Fishbein MC, Han JB, Lai WW, Lai AC, Wu TJ, Czer L, Wolf PL, Denton TA, Shintaku IP, Chen PS, Chen LS. Relationship between regional cardiac hyperinnervation and ventricular arrhythmia. *Circulation*. 2000;101:1960–1969.
- Vaseghi M, Shivkumar K. The role of the autonomic nervous system in sudden cardiac death. *Prog Cardiovasc Dis*. 2008;50:404–419. doi: 10.1016/j.pcad.2008.01.003.
- Kuo CS, Munakata K, Reddy CP, Surawicz B. Characteristics and possible mechanism of ventricular arrhythmia dependent on the dispersion of action potential durations. *Circulation*. 1983;67:1356–1367.
- Vaseghi M, Lux RL, Mahajan A, Shivkumar K. Sympathetic stimulation increases dispersion of repolarization in humans with myocardial infarction. *Am J Physiol Heart Circ Physiol*. 2012;302:H1838–H1846. doi: 10.1152/ajpheart.01106.2011.
- Ajjijola OA, Yagishita D, Patel KJ, Vaseghi M, Zhou W, Yamakawa K, So E, Lux RL, Mahajan A, Shivkumar K. Focal myocardial infarction induces global remodeling of cardiac sympathetic innervation: neural remodeling in a spatial context. *Am J Physiol Heart Circ Physiol*. 2013;305:H1031–H1040. doi: 10.1152/ajpheart.00434.2013.
- Gardner RT, Wang L, Lang BT, Cregg JM, Dunbar CL, Woodward WR, Silver J, Ripplinger CM, Habecker BA. Targeting protein tyrosine phosphatase  $\alpha$  after myocardial infarction restores cardiac sympathetic innervation and prevents arrhythmias. *Nat Commun*. 2015;6:6235. doi: 10.1038/ncomms7235.
- Fallavollita JA, Heavey BM, Luisi AJ Jr, Michalek SM, Baldwa S, Mashtare TL Jr, Hutson AD, Dekemp RA, Haka MS, Sajjad M, Cimato TR, Curtis AB, Cain ME, Canty JM Jr. Regional myocardial sympathetic denervation predicts the risk of sudden cardiac arrest in ischemic cardiomyopathy. *J Am Coll Cardiol*. 2014;63:141–149. doi: 10.1016/j.jacc.2013.07.096.
- Fallavollita JA, Dare JD, Carter RL, Baldwa S, Canty JM. Denervated myocardium is preferentially associated with sudden cardiac arrest in ischemic cardiomyopathy: a pilot competing risks analysis of cause-specific mortality. *Circ Cardiovasc Imaging*. 2017;10:e006446. doi: 10.1161/CIRCIMAGING.117.006446.
- Ahmed A. A propensity matched study of New York Heart Association class and natural history end points in heart failure. *Am J Cardiol*. 2007;99:549–553. doi: 10.1016/j.amjcard.2006.08.065.
- Pocock SJ, Wang D, Pfeffer MA, Yusuf S, McMurray JJ, Swedberg KB, Ostergren J, Michelson EL, Pieper KS, Granger CB. Predictors of mortality and morbidity in patients with chronic heart failure. *Eur Heart J*. 2006;27:65–75. doi: 10.1093/eurheartj/ehi555.
- Allman KC, Wieland DM, Muzik O, Degrado TR, Wolfe ER Jr, Schwaiger M. Carbon-11 hydroxyephedrine with positron emission tomography for serial assessment of cardiac adrenergic neuronal function after acute myocardial infarction in humans. *J Am Coll Cardiol*. 1993;22:368–375.
- Vaseghi M, Barwad P, Malavassi Corrales FJ, Tandri H, Mathuria N, Shah R, Sorg JM, Gima J, Mandal K, Saenz Morales LC, Lokhandwala Y, Shivkumar K. Cardiac sympathetic denervation for refractory ventricular arrhythmias. *J Am Coll Cardiol*. 2017;69:3070–3080. doi: 10.1016/j.jacc.2017.04.035.

KEY WORDS: Editorials ■ autonomic nervous system ■ death, sudden, cardiac ■ defibrillators, implantable ■ sympathetic nervous system ■ ventricular fibrillation

## Risk Stratification and Sudden Cardiac Death: Is It Time to Include Autonomic Variables?

Houman Khakpour and Marmar Vaseghi

*Circ Cardiovasc Imaging.* 2017;10:

doi: 10.1161/CIRCIMAGING.117.006819

*Circulation: Cardiovascular Imaging* is published by the American Heart Association, 7272 Greenville Avenue, Dallas, TX 75231

Copyright © 2017 American Heart Association, Inc. All rights reserved.

Print ISSN: 1941-9651. Online ISSN: 1942-0080

The online version of this article, along with updated information and services, is located on the World Wide Web at:

<http://circimaging.ahajournals.org/content/10/8/e006819>

**Permissions:** Requests for permissions to reproduce figures, tables, or portions of articles originally published in *Circulation: Cardiovascular Imaging* can be obtained via RightsLink, a service of the Copyright Clearance Center, not the Editorial Office. Once the online version of the published article for which permission is being requested is located, click Request Permissions in the middle column of the Web page under Services. Further information about this process is available in the [Permissions and Rights Question and Answer](#) document.

**Reprints:** Information about reprints can be found online at:  
<http://www.lww.com/reprints>

**Subscriptions:** Information about subscribing to *Circulation: Cardiovascular Imaging* is online at:  
<http://circimaging.ahajournals.org/subscriptions/>